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## MODELS COMPARISON TO ASSESS EMERGING OF LOW INCOME HOUSING IN COLOMBO SUBURBS

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## Abstract

The Colombo Metropolitan Region is the economic powerhouse of the whole country, with vigorous commercial and industrial activities. Over the past few decades, its low-lying areas have been undergoing drastic changes. All factors relating to this have been considered by studying three sectorial models and an overall model. In the sectorial models, several variables/ factors affecting conversion of low-lying housing plots have been identified, but the important need is to pinpoint the most influential variables. This is determined using the overall model and the three sectorial models. The overall model considers 19 variables in all but excludes family savings (because of its multicollinearity with family income). Of the 19 variables in the overall model, 8 variables proved significant. It was found that three variables from the Geo-spatial group (RWR, GWS & LLP), three variables from the Behaviour group (PPP, TSA & PPG) and two variables from the socio-economic group (HI & SKJ) proved significant in the overall model, showing high levels of accuracy in each model. Hence, in the context of low-lying land conversion the most influential variables are the geo-spatial and behaviour variables as proved both statistically and empirically. As such, the overall model has conclusively identified the most influential variables that exerted the greatest impact on low-lying land conversion, by causing the emergence of households. All data from models along with their probability values have been mapped using GIS to understand the levels of conversion that took place in the low-lying areas of Colombo Metropolitan Region.

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## 1. Introduction

It is acknowledged by town and city planners that the utilization and conversion of land are primarily influenced by the factors of time, space and human interactions. For example, it can be seen that civil works such as concrete highways, asphalt roads, factories, industrial sites and housing developments are densely scattered across environmentally sensitive low-lying lands including marshes and paddy fields that have been filled in. Colombo and its suburbs have now become heavily built-up areas with a preponderance of individual housing units occupying practically all the low-lying areas (Samaratunga & O'Hare, 2013). As mentioned earlier, this has been an on-going process that has eventually resulted in the takeover of every available plot in the low-lying areas and its gradual transformation into a stable homestead. The conversion of land occurs very slowly at first but the process gains momentum later on until every vacant plot of land is acquired and occupied. This uncontrolled growth ultimately leads to urban chaos on several fronts (Dayaratne, 2010).

#### 1.1. Classification of Houses in Low-lying Areas

Classification of houses in low-lying areas can be a difficult task. Especially, the demarcation of the land plot areas can only be done by using public participatory practices in the field. In this research, setting up land plots with GIS database was found to be very time consuming. This is the reason most research has been done using cell or grid based analysis rather than digitized land plot analysis. The geospatial database together with the household information was used to establish whether houses were stable or non-stable.

## 2. Problem Statement

Changes to low-lying areas that occurred over the period under review can be made visible as hot spots across the entire area covered by the CMR using spatial techniques. One of the tasks of the study was to find out, "Where are the low-lying areas that are still being threatened by the emergence of new households?" Therefore, a comprehensive spatial database was prepared to show how the low-lying areas have changed in the recent past due to the emergence of a large number of housing units. This had to be accomplished using the satellite information system and GIS software after carefully geo-referencing the locations of households. By having this type of information system, anyone could see where the low-lying areas have been gradually encroached upon and captured over time. But this high-tech satellite based GIS system can represent only pixel based information (Samat, 2007), which by itself is insufficient for statistical analysis. Variations among the housing units and the effect of human interactions are also crucial factors and this information can only be collected by conducting door to door surveys. But combining socio-economic and human behavioural information together with geo-spatial data can prove to be a difficult exercise.

## 3. Research Questions

Hence, this study has addressed mainly four aspects (one task and three objectives) of unauthorized conversion of land as stated in the research questions and objectives. In addition to the questions posed in this study, the reliability of the model is examined by using a separate dataset in the

same area as the validation model. Furthermore, this study suggested that it is necessary to reiterate the procedure once in every seven years to see the updated conversion situation.

• With respect to evolution of housing units emerging over a period of time, which are the most affected areas?

• What are the conditions that prevail in the households in low-lying areas?

• Identify the important human influences and geo-spatial conditions involved in the conversion of low-lying areas?

• What is the rate at which the land is being transformed?

### 4. Purpose of the Study

The phenomenon of individual households arising in the low-lying localities of a metropolis is not unique to Sri Lanka but common to nearly all tropical countries (Makunde, 2016). Despite the presence of various administrative arms of the government to supervise and regulate all on-going land reclamation and building work, these agencies have not proved effective at preventing the proliferation of unauthorised households in the suburbs. This problem is particularly acute in developing countries, and the situation in the Colombo Metropolitan Region is a good example. The changes take place slowly at the beginning but gradually accelerate and after the passage of some years end up causing a myriad problems in the built-up areas. Despite the importance of the issue and the need to address it urgently, no proper study has been conducted on the negative impact caused by private housing units in the low-lying areas. The effects of this phenomenon and the consequences on both the natural environment and the urban environment have not been studied and analysed adequately. The purpose of this study is to focus on and assess the changes that occur in low-lying areas during the conversion process with special emphasis being placed on the following three aspects:

- Socio-economic
- Behavioural
- Geo-spatial

In addition to above, overall conditions are also tested through modelling techniques to identify the most influential variables. Hence, the following contribution to knowledge and to society has been made by this study.

## 5. Research Methods

Dependent variable of entire logistic model is the housing type and that is categorically coded as 0 = non-stable house' and 1 = stable house'. Sample size is 294 householders living in 185 stable houses and 109 non-stable houses as of May, 2012 in the low-lying areas of Kerawalapitiya GN division, Wattala, which obtained the highest ranking as the fastest developing area. The model is built up of 19 independent variables. It is divided into three categories covering socio-economic variables, behaviour variables, and geo-spatial variables (Liao & Wei, 2014). Finally, all three sub-models were tested together to identify the most influential variables within the overall model.

i) Socio-economic variables	(Model 1)	8 independent variables
ii) Behaviour variables	(Model 2)	7 independent variables
iii) Geo-spatial variables	(Model 3)	5 independent variables
iv) Overall model variables	(Model 4)	19 independent variables

'Family savings' was used as one of the behaviour variables at the group level, but it was excluded from the overall model because of multicollinearity with the variable 'family income' at the overall level (Smith & Sasaki, 1979; Zainodin, Noraini, & Yap, 2011). Combinations of household income, family savings and family expenditure have high VIF value when they are tested in the collinearity statistic test. If multicollinearity is high, the better solution is to remove the relevant independent variable from the model (Chong & Jun, 2005).

#### 5.1. Model Building

The process of selecting subsets out of a larger number of variables is called model building. An important objective of model building in logistic regression is prediction (Lee & Koval, 1997). In respect of low-lying areas, transformation of the land due to emergence of households and the variables responsible for same has been modelled. Houses act as convertors of low-lying areas and so 'House' is the dependent variable. In a particular housing plot, the conversion process will depend upon several factors but housing condition will be the main indicator of how this particular housing plot will act in the near future in the context of conversion. Therefore, the dependent variable has only two aspects: first, how stable houses will act or have acted in the context of conversion of their particular land plots. Thus, this model can be represented in terms of a multivariate logistic regression equation as given below:

Where p is the probability that the dependent variable (Y) is 1, p (1-p) is the odds ratio, X is the intercept, and Y are coefficients that measure the contribution of independent factors B to the variations in Y.

To appropriately interpret the meaning of Eq. (1), it is necessary to use the coefficients as a power to the natural log (e), which represents the odds (or likelihood) ratio. Table 01 represents the details of the Variables, Labels and Encodings for Overall Model

No.	Variable labels	Description and	Туре	Definition of	Variables
		encodings		Variables	Applied in the
					Models
1	HS	Household size	Continuous	Non-stable houses	Socio-Economic
				have fewer family	
				members than	
				stable houses	
2	HI	Household income	Continuous	Non-stable houses	Socio-Economic
				have lower income	
				than stable houses	

Table 01. Details of the Variables, Labels and Encodings for Overall Model

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3 HHEL Education level of Categorical Non-stable Socio-Economic head of household: householders have 1= up to Primary, less education than 2= up to O/L and stable householders 3= above O/L SE 4 Settlement year Continuous Owners of non-Socio-Economic stable houses have settled more recently than owners of stable houses IMF 5 Immigration from Continuous Non-stable Socio-Economic householders have migrated into low lands from more distant places than stable householders SKJ Skill level of jobs: Categorical-Owners of non-Socio-Economic 6 Dummy coded stable Dummy houses 0= No, 1= Yes perform fewer skilled jobs than owners of stable houses VA Categorical-Socio-Economic 7 Ownership of Non-stable vehicles: Dummy Dummy householders own coded fewer motor 0= No, 1= Yes vehicles than Stable householders Non-stable HHA Household assets Continuous Socio-Economic 8 householders possess lower value of assets than stable householders 9 MU Material utilised for Continuous Non-stable Behaviour conversion of land householders have and housing used less material for land filling than (in cubic meters) stable householders 10 TS Attitude about Categorical Owners of non-Behaviour technology, skills stable houses have and adaptation used less technology and skills in constructing their houses than owners of stable houses Living time per 11 LT Continuous Owners of non-Behaviour week in the house stable houses spend fewer number of hours in their houses than owners of stable houses 12 SDD Addictive habits of Addictive habits Behaviour inhabitants such as smoking, like smoking, drinking drinking and drugs and drugs usage is usage much higher among owners of nonstable houses than owners of stable houses 13 PG Permanent plants Continuous Owners Behaviour of nonpresent in a plot stable houses have

14	РРР	Behaviour relating to participatory approach		fewer large plants in their plots than owners of stable houses Owners of non- stable houses are less involved in activities of a participatory nature	Behaviour
				than owners of stable houses	
15	LLP	Low land plants availability in a plot		Non-stable housing plots have more low land related plants than stable houses	Geo-spatial
16	GWS	Ground water surface level in a plot	Continuous	Non-stable housing plots have higher ground water surface level than stable houses	Geo-spatial
17	FL	Flood level in a plot	Continuous	Non-stable housing plots are affected by higher flood levels than stable houses	Geo-spatial
18	DSO	Distance to environmentally sensitive objects from the plot	Continuous	Non-stable houses are much closer to environmentally sensitive objects than stable houses	Geo-spatial
19	RWR	Number of days rain water remains in a plot	Continuous	Non-stable housing plots suffer more days of water stagnation after rains than stable houses	Geo-spatial

## 6. Findings

One of the major objectives of the research was to quantify the influence of factors that were primarily due to human intervention. In the case of low-lying land conversion, three aspects of human interaction together with their overall effect have been discussed. In addition, results for all models were linked to actual locations on terrestrial maps using GIS techniques.

According to the summarized results presented in Table 2, it is clear that all models have fitted well, based on the values obtained for Omnibus test, Hosmer and Lemeshow test, accuracy of model and Pseudo R square. Out of the four models tested, the best model was the overall model, as it performed better than the other models; this was because it used a combination of variables from the three basic models, each of which used fewer variables (Arbuckle, 2010). Hence, the overall model returned the best results. Omnibus test gave p value of 0.038 at chi-square value of 4.284, Hosmer and Lemeshow test also fitted very well with 0.321 (much higher than the 0.05 required for significance) at the chi-square value of 9.255. Finally, the overall model's accuracy was 92.2% and model's r square value ranged between 61.6% and 84.1%.

Model	Total	Sig.	Omnibus	Hosmer &	Accuracy	Pseudo R
Name	Variables	Variables	Tests	Lemeshow		square
				Test		
Socio-	8	4	5.033	10.478	81.3%	33.3-45.5%
Economic			P= 0.025	P= 0.233		
Behavioural	7	5	5.042	11.301	86.7%	52.14-71.5%
			P= 0.025	P= 0.185		
Geo-Spatial	5	3	11.895	11.723	89.5%	52.9-72.2%
			P= 0.003	P= 0.164		
Overall	19	8	4.284	9.255	92.2%	61.6-84.1%
			P= 0.000	P= 0.321		

#### Table 02. Summary of Results

Another important aspect of the overall model is that three of the behaviour variables, three geospatial variables, and two socio-economic variables proved highly significant. Hence, behaviour variables and geo-spatial variables have proved to be a strong part of the model, and that is very much in agreement with the research objectives and second hypothesis of the study. Upon analysing the sectorial model, the behaviour model shows excellent performance as five variables out of the seven independent variables prove significant as seen in the results of Table 2. Geo-spatial model has contributed almost as much as the behaviour model but only three of its variables are significant out of five.

According to Table 3, it is clear that the higher odds ratios are those representing the behaviour variables PPP and TSA out of all the variables in the models that support the research argument well.

Variables	At the Group Level		Overall Model		
	Wald	Odds Ratio	Wald	Odds Ratio	
RWR	25.229	0.698	4. 466	0.813	
GWS	43.972	1.163	22.45	1.149	
LLP	7.143	4.345	3.855	4.586	
PPG	18.989	1.467	10.667	1.457	
PPP	9.875	4.701	8.615	8.099	
TSA	18.849	9.972	4.762	5.438	
HI	21.635	1.148	12.282	1.182	
SKJ	Non-significant	t	4.074	2.984	

 Table 03. Most Significant Variables (95% CI)

Family Income is also well supportive of the model but its strength (odds ratio) is not very high in comparison to the behaviour variables. PPP has an odds ratio of 8.009 and TSA has odds ratio of 5.438 in the overall model but family income has only 1.182 odds ratio. Geo-spatial variables GWS, PPG and RWR are significant in both stages. RWR is also a highly significant variable that has a negative relationship with stable house. If rain water remains stagnant in a particular land plot for several days, then that household is not likely to become a home garden. This is borne out by the result that shows it

has an odds ratio of less than 1. Hence, if a house has fewer days of rain water remaining in its particular land plot then that house is more likely to undergo conversion into a stable homestead. Job skill is a significant variable in the overall model, though it is not significant at group level; this result illustrates the importance of overall model testing.

#### 6.1. Variations among the Land Plots

Third objective of the research is to quantify the variations in conversion using models. Hence, probability maps of the models in Figure 1 represent variations in each sector as well as the overall variation through the period 2005 (base year in the models) to 2012 in the study area. Therefore, human interactions such as the householders' behaviour and economic conditions as well as geo-spatial status are the main influences responsible for changing their lands from low-lying plots to home gardens. Another significant fact is that all houses established on low-lying areas have the basic tendency to change their land plots to home garden condition. Each house's status up to now has been measured for the models. But the process of change will continue because the final goal of every household is to turn its land plot into a home garden to live in.



A. Socio-Economic Model



B. Behavioural Model



C. Geo-Spatial Model

D. Overall Model

#### Figure 01. Comparison of Model Variations

#### 6.2. Discussion

To measure the factors behind low-lying land conversion in future studies, it would be helpful to know the accuracy of this model. It is clear this study has improved the spatial logistic model accuracy to 92.2% for the overall model. It can be seen that the accuracy levels of similar studies conducted earlier, for both model and validation have been somewhat below the model accuracy obtained in this study. Therefore, the high accuracy attained by this model can contribute much to future studies in the same study area or other study areas facing similar land conversion issues. By applying the same methods followed in this study, specifically by building a more comprehensive database and by adopting a more analytical approach, very reliable results can be obtained. Therefore, it can be said that this study could make a solid contribution towards solving many urban development and environment related problems caused by mass migration of the populace from rural to urban areas.

## 7. Conclusion

In the sectorial models, the important variables/ sectors that affect low-lying housing plot conversion have been identified, but it is also important to know the most influential variables. This task is performed using the overall model, the three sectorial models and the validation model. The overall model consists of 19 variables including all variables of the groups except family savings (because of its multicollinearity with family income). The overall model contains 19 variables, out of which 8 variables are significant. The crucial fact is that there are three variables from the geo-spatial group (LLP, GWS & RWR), three variables from the behaviour group (PPG, TSA & PPP) and two variables from the socio-

economic group (HI & SKJ) that are significant in the overall model. In the conversion of low-lying land, the strongest variables are the geo-spatial and behaviour variables as they have empirically and statistically demonstrated it. Thus, the overall model has convincingly identified the most important variables that influence the emergence of households on low-lying lands.

Spatial logistic model results have been linked with the spatial database of GIS map so that it indicates the areas converted by establishment of households as well as the non-converted areas. Hence, this classification has been done based on spatial logistic model results. Urban Planning authorities can distinguish between the converted and non-converted areas and even the assessment values of the individual land plots. Therefore, this model can be used as a geo-spatial management system for low lands under threat in other places as well. Thus, the contribution made by this study will be very useful for local government bodies and environmental authorities when they are planning for sustainable development of their urban low lands (Table 04).

Application	Model Accuracy	Validation Accuracy	Reference and Year
Landslide probability	90.7%	77.3%	(Dong, Tung, Chen, Liao, & Pan, 2011)
Spatial probability of fire or ignition	86.1%	74.9%	(de Vasconcelos, Silva, Tome, Alvim, & Pereira, 2001)
Rural-urban land conversion	80.7%	74%	(Xie, Huang, Claramunt, & Chandramouli, 2005)

 Table 04. Comparison of Model Accuracy

In this study, all influential factors have been identified and grouped into three key sectors, based on the relevant literature review; the variables in the key categories were then investigated in detail. In the past, several studies devoted to land conversion in low-lying areas have addressed only socio-economic factors. But as a result of the sectorial level analysis conducted by this study, it has been proven that geo-spatial factors (89.5%) and behaviour factors (86.7%) are more influential than the socio-economic factors (81.3%). Therefore, it is now clear that geo-spatial factors and behaviour factors carry at least as much weight as socio-economic factors. Compared to previous studies that did not address all of the above factors in the conversion of low-lying areas, the additional sectorial information that has been included in this study will prove very useful in managing conversion of land and related activities and contribute substantially to future urban planning in low-lying areas.

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